

FILE COPY

SERIAL NUMBER 09/289,550	FILING DATE 04/09/99	CLASS 345	GROUP ART UNIT 2773	ATTORNEY DOCKET NO. 3854
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APPLICANT

RICHARD W. FRIESEN, FAIRFAX, CA; PETER C. HART, SAN RAFAEL, CA.

CONTINUING DOMESTIC DATA***

VERIFIED

371 (NAT'L STAGE) DATA***

VERIFIED

FOREIGN APPLICATIONS***

VERIFIED

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/28/99 ** SMALL ENTITY **

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY CA	SHEETS DRAWING 14	TOTAL CLAIMS 58	INDEPENDENT CLAIMS 12
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Verified and Acknowledged <i>CSW</i> Examiner's initials _____ Initials _____	DANA S RAO FENWICK & WEST TWO PALO ALTO SQUARE PALO ALTO CA 94306				
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USER INTERFACE FOR AN ELECTRONIC TRADING SYSTEM					
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FILING FEE RECEIVED \$1,138	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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RECEIVED
JAN - 4 2000
TC 2703 MAIL ROOM